

SYSTEMATIC WITHDRAWAL PLAN (SWP)

To,
The Trustee, Tata Mutual Fund, Mumbai
Having read & understood the contents of the SAI / SID / KIM & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the SWP Enrolment for the following Schemes & agree to abide by the terms & conditions of the Plan.

Folio No.:

Broker /
Agent Code **ARN-9686**

Sub-Broker /
Bank Branch Code

M.O Code :

Name : _____
Email : _____

Scheme _____ Option _____

Fixed Amount ₹ _____ (in words _____) Capital Appreciation

Period of Enrolment (MM/YY)

From : _____ / _____ / _____ To : _____ / _____ / _____ Frequency Monthly Quarterly

Date: _____ **1st / 7th / 10th / 20th / 28th of the month** (in case of holiday, next business day)
(in case the date is not mentioned, the 1st day of the month will be taken as the default date)

First payout Date: _____ **(that is the first payment date)**
(in case the first payout date is not mentioned, the 1st day of the following month will be taken as the default date)

Last Payout Date: _____ **(that is the last payment date)**
(in case the last payout date is not mentioned, the payout will continue until the balance units are reduced to zero.)

SIGNATURES

Sole/First Applicant

Second Applicant

Third Applicant

Loads as applicable from time to time.
INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.
N.B SWP should reach ISCS before 7 working days from the start of first SWP date.

SYSTEMATIC TRANSFER PLAN (STP)

To,
The Trustee, Tata Mutual Fund, Mumbai
Having read & understood the contents of the SAI / SID / KIM of the relevant schemes & the Instructions, I/we here by apply to the Trustee of Tata Mutual Fund for the STP Enrolment under the following Schemes & agree to abide by the terms & conditions of the Plan. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

Broker Code : **ARN-9686**

Sub Broker Code :

M.O Code :

Folio No. _____ Name: _____

Transfer from (Scheme): _____ Option: _____

Transfer to (Scheme): _____ Option: _____

No of Units: _____ or Amount (₹): _____ Amount (in words): _____

or Dividend or Capital Appreciation

STP period from: _____ / _____ / _____ (dd) / _____ (mm) / _____ (yy) To : _____ / _____ / _____ (dd) / _____ (mm) / _____ (yy)

Transfer Frequency:

<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly
Only from Monday to Friday*	(Only on Fridays)	<input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 28th	Days of the month

Select any one
In case the day of STP is a non business day the request will be considered for the next business day.

Email (mandatory for Daily STP): _____

I/We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy Yes No (please refer notes overleaf)

SIGNATURES

Sole/First Applicant

Second Applicant

Third Applicant

Loads as applicable from time to time.

INCORRECTLY / INCOMPLETELY FILLED APPLICATIONS ARE LIABLE FOR REJECTION.

Note: STP should reach AMC offices / Registrar offices before 15 working days from the start of first STP date.

* In case any day is a non business day for any one of the schemes (either STP from or STP to scheme) the STP will be processed as per the matrix provided in the instructions no. '9'.